



High 9 risks  
 Medium 7 & 8 risks  
 Risks to be deleted from next 1/4 profile  
 Risk reduced from last 1/4 profile  
 New risks

| Appendix A              |                 |  |                       |                                 |  |                          |                          |                          |
|-------------------------|-----------------|--|-----------------------|---------------------------------|--|--------------------------|--------------------------|--------------------------|
| Risks and Action Plan   |                 |  |                       |                                 |  |                          |                          |                          |
| Risk Identified         | Risk Owner      | Action Required to Address Risk  | Target Date           | Risk Category                   | Current position / progress  | Status                   | Status                   | Current Rating           |
|                         |                 | in order to reduce the risk  | for action completion | Strategic, Operational, Project | as at 26/01/2015   | as at June 14            | as at Sept 14            | as at Dec 14             |
| Potential Claims growth | Chief Executive | The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments |                       | Strategic                       | Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions. | I = 3<br>L = 3<br>High 9 | I = 3<br>L = 3<br>High 9 | I = 3<br>L = 3<br>High 9 |

|   |  |                              |   |                       |                                 |  |                            |                            |                            |
|---|--|------------------------------|---|-----------------------|---------------------------------|--|----------------------------|----------------------------|----------------------------|
|   | <b>Appendix A</b><br><br>Risks and Action Plan<br><b>Risk Identified</b>   | <b>Risk Owner</b>            | <b>Action Required to Address Risk</b>  | <b>Target Date</b>    | <b>Risk Category</b>            | <b>Current position / progress</b>   | <b>Status</b>              | <b>Status</b>              | <b>Current Rating</b>      |
|   |  |                              | in order to reduce the risk   | for action completion | Strategic, Operational, Project | as at 26/01/2015   | as at June 14              | as at Sept 14              | as at Dec 14               |
|   |  |                              |   |                       |                                 |  |                            |                            |                            |
| 2 | Members not adhering to Officers advice  | Resources & Support Services | Planning Peer Review Action Plan approved including a timetable for implementation, included training programme for elected members.  | Throughout the year   | Strategic                       | Action Plan due for complete implementation and review by Cabinet in July 2015 but monitored on a monthly basis.   | I = 3<br>L = 3<br>High 9   | I = 3<br>L = 3<br>High 9   | I = 3<br>L = 3<br>High 9   |
| 3 | Inability to implement outcomes from the Stock Condition Survey due to lack of finance (Asset Management Strategy) | Regeneration & Development   | Corporate capital programming is to be undertaken as part of the work of the Assets Review Group, seeking to identify investment needs over a 3-5 year period to promote the same on a prioritised basis. | Jan-15                | Operational                     | The outcome report has been received by the Capital Programme Review Group. The urgent items are covered by the 3 year Capital Works Programme and this should allow for the repairs to be undertaken. There is however an annual review of the Works Programme to assess if there is a need to change priorities. | I = 2<br>L = 3<br>Medium 8 | I = 2<br>L = 3<br>Medium 8 | I = 2<br>L = 2<br>Medium 5 |